

## Y Pwyllgor Cyllid

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Lleoliad:  
**Ystafell Bwyllgora 2 – y Senedd**

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Dyddiad:  
**Dydd Mercher, 5 Rhagfyr 2012**

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Amser:  
**09:30**

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Cynulliad  
Cenedlaethol  
Cymru

National  
Assembly for  
Wales



I gael rhagor o wybodaeth, cysylltwch â:

**Gareth Price**  
Clerc y Pwyllgor  
02920898409  
[FinanceCommittee@wales.gov.uk](mailto:FinanceCommittee@wales.gov.uk)

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## Agenda

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### 1. Cyflwyniad, ymddiheuriadau a dirprwyon (09.30–09.35)

### 2. Buddsoddi i Arbed – Cyfoeth Naturiol Cymru (09.35–10.15)

(Tudalennau 1 – 3)

FIN(4) 21–12 – Papur 1 – Rhaglen Cymru Fyw

Gretel Leeb, Uwch Swyddog Cyfrifol, Swyddog Gweithredol Rhaglen ‘Cymru Fyw’  
Rob Bell, Adran Gyllid, Rhaglen ‘Cymru Fyw’

### 3. Papurau i'w nodi (10.15 – 10.30) (Tudalennau 4 – 29)

FIN(4) 21–12 – Papur 2 – Goblygiadau ariannol Bil Democratiaeth ac Etholiadau Llywodraeth Cymru

FIN(4) 21–12 – Papur 4 – Ymateb i'r Gweinidog Cyllid i bwyntiau gweithredu a godwyd yn y cyfarfod ar 7 Tachwedd 2012 (Buddsoddi i Arbed)

FIN(4) 21–12 – Papur 5 – Ymateb i'r ymgynghoriad ar y rhaglen Buddsoddi i Arbed gan UWIC

FIN(4) 21–12 – Papur 6 – Gohebiaeth gan Gadeirydd y Pwyllgor Plant a Phobl Ifanc

FIN(4) 21–12 – Papur 7 – Gohebiaeth gan Gadeirydd y Pwyllgor Amgylchedd a Chynaliadwyedd ynghylch cyllideb ddrafft Llywodraeth Cymru 2013–14

Cofnodion y cyfarfod blaenorol.

**4. Cynnig o dan Reol Sefydlog 17.42 i benderfynu gwahardd y cyhoedd o'r cyfarfod ar gyfer y canlynol:**

Eitemau 6 a 7.

**5. Ystyried tystiolaeth ar y rhaglen Buddsoddi i Arbed (10.30 – 10.45)**

**6. Ystyried adroddiad drafft 'Effeithiolrwydd Cyllid Strwythurol Ewropeaidd yng Nghymru' (10.45 – 11.15)**

**7. Ystyried y rhaglen waith ar gyfer tymor y gwanwyn 2013 (11.15 – 11.30) (Tudalennau 30 – 31)**

**Y Pwyllgor Cyllid  
FIN(4) 21-12 – Papur 1**

## **Ymchwiliad ‘Buddsoddi i Arbed’**

### **Tystiolaeth ysgrifenedig gan Raglen Cymru Fyw.**

Mae rhaglen Cymru Fyw wedi cael y dasg o greu corff newydd a fydd yn cyflawni swyddogaethau presennol Asiantaeth yr Amgylchedd yng Nghymru, Cyngor Cefn Gwlad Cymru a'r Comisiwn Coedwigaeth. Daw'r corff newydd, a elwir yn 'Cyfoeth Naturiol Cymru', i rym ar 2 Ebrill 2013.

Yn yr achos busnes ar gyfer datblygu'r corff newydd pennwyd y tasgau angenrheidiol ar gyfer creu endid gweithredol, ac amcangyfrifwyd y cyllidebau y bydd eu hangen ar gyfer cyflawni'r tasgau hyn. Er mai Llywodraeth Cymru a'r tri chorff arall perthnasol sydd wedi bod yn gyfrifol am y rhan fwyaf o'r adnoddau ar gyfer cyflawni'r gwaith, nodwyd bod modd cyflawni rhai agweddau ar y rhaglen ynghynt ar yr amod bod cyllid ychwanegol i'w gael. Yn arbennig, bydd mynd i'r afael â gwaith pontio TG trwy gynnig atebion 'cwmwl' i'r holl staff yn hwyluso'r dasg o reoli dogfennau, a hefyd yn hwyluso'r integreiddio, gan roi sicrwydd pellach ynglŷn â pharhad busnes o'r diwrnod sefydlu ymlaen; a bydd y gwaith cyfreithiol, actiwaraid ac AD y cyfeirir ato yn y cais 'Buddsoddi i Arbed' yn golygu y bydd y corff mewn sefyllfa dda i ddechrau ar y broses o gyflwyno newidiadau cyfundrefnol a thrawsnewid y diwylliant o'r diwrnod sefydlu ymlaen.

Mae'r achos busnes ar gyfer yr uno'n dangos y disgwylir i'r corff gyflawni £158 miliwn o fuddion gros dros gyfnod o 10 mlynedd, gyda chyfnod ad-dalu o 5

mlynedd. O'r herwydd, ystyrir y cyllid hwn ('Buddsoddi i Arbed') fel ' buddsoddiad galluogi' a fydd yn cyfrannu at gyflawni'r buddiannau gros yn effeithiol. Dangosir manylion ariannol y cynnig isod.

<u>Rhaglen Cymru Fyw</u>			
<u>Manylion ariannol 'Buddsoddi i Arbed'</u>			
	2012/13	2013/14	Cyfanswm
	£	£	£
Costau Pontio TG	2,698,000		2,698,000
Adnoddau'r Tîm Rhaglen TG	400,000		400,000
Cyngor Cyfreithiol ac Actiwaraidd	250,000	100,000	350,000
Costau Ymgynghorwyr AD	50,000	535,000	585,000
Cyfanswm y Costau	<u>3,398,000</u>	<u>635,000</u>	<u>4,033,000</u>
Cynnig 'Buddsoddi i Arbed' (75%)	2,548,500	476,250	3,024,750
Ad-dalu:			
2014/15			1,512,375
2015/16			1,512,375

Bydd y gwaith o ad-dalu buddsoddiad 'Buddsoddi i Arbed' ar gyfer costau ad-drefnu Cyfoeth Naturiol Cymru i'r cronfeydd wrth gefn yn dechrau yn 2014-15. Bydd yr ad-daliad yn £1.512m bob blwyddyn am ddwy flynedd, a chaiff ei ariannu yn sgil yr arbedion a fydd yn deillio o wireddu buddion y corff newydd, fel y nodir yn yr Achos Busnes. Bydd gostyngiad cyfatebol i'w Gymorth Grant yn sicrhau y bydd y buddsoddiad yn cael ei ddychwelyd i'r gronfa wrth gefn ganolog.

Yn ein tyb ni, mae cynnig 'Buddsoddi i Arbed' wedi galluogi'r rhaglen i gyflymu'r newid tuag at ddulliau cyflawni mwy effeithlon, effeithiol a chynaliadwy ar gyfer Cyfoeth Naturiol Cymru. Ni fydd y buddion a ddaw yn sgil y buddsoddiad yn dechrau tan ar ôl y diwrnod sefydlu, ac ni fydd y buddion llawn yn cael eu gwireddu am 3-5 mlynedd. Bydd cytundeb fframwaith perfformiad rhwng Llywodraeth Cymru a Chyfoeth Naturiol Cymru yn cael ei roi ar waith cyn y diwrnod sefydlu, a bydd y cytundeb hwn yn nodi y bydd yn ofynnol i Gyfoeth Naturiol Cymru fonitro'r modd y caiff buddion yr achos busnes eu cyflawni. Wedyn, bydd modd i Lywodraeth Cymru benderfynu a yw'r buddion wedi cael eu cyflawni, ai peidio. Bydd perthynas yn cael ei dwyn rhwng is-set o'r buddion hyn a buddsoddiad 'Buddsoddi i Arbed', ac felly bydd modd cysylltu'r dasg o gyflawni gwasanaethau hirdymor a gwelliannau cyfundrefnol â gwariant yr arian 'Buddsoddi i Arbed'.

Pan ddaw rhaglen Cymru Fyw i ben ym mis Ebrill 2013, bydd proses ffurfiol yn ymwneud â 'gwersi a ddysgwyd' yn cael ei rhoi ar waith, a bydd yr holl wersi perthnasol yn cael eu nodi. Fe fydd ambell un o'r rhain yn ymwneud â'r broses 'Buddsoddi i Arbed' a rôl alluogi'r buddsoddiad o safbwynt y rhaglen. Gan y bydd y rhan fwyaf o'r prosiectau a gaiff arian 'Buddsoddi i Arbed' yn cael eu rheoli'n ffurfiol, yn ôl pob tebyg fe fydd y broses hon yn cael ei rhoi ar waith yn gyffredinol. Ein hawgrym ni yw y dylai'r adroddiadau ar 'wersi a ddysgwyd' fod ar gael i gynulleidfa ehangach fel y gallwn rannu arferion da a dysgu yn sgil profiad.

**Gretel Leeb**  
**Uwch Swyddog Cyfrifol**  
**Rhaglen Cymru Fyw.**

# Eitem 3

## Y Pwyllgor Cyllid

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Meeting Venue: **Ystafell Bwyllgora 2 – y Senedd**

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Meeting date: **Dydd Mercher, 21 Tachwedd 2012**

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Meeting time: **09:00 – 11:30**

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This meeting can be viewed on Senedd TV at:

[http://www.senedd.tv/archiveplayer.jsf?v=cy\\_300000\\_21\\_11\\_2012&t=0&l=cy](http://www.senedd.tv/archiveplayer.jsf?v=cy_300000_21_11_2012&t=0&l=cy)

Cynulliad  
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Cymru

National  
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Wales



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### Concise Minutes:

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#### Assembly Members:

**Jocelyn Davies (Cadeirydd)**  
**Peter Black**  
**Christine Chapman**  
**Paul Davies**  
**Mike Hedges**  
**Ann Jones**  
**Julie Morgan**  
**Ieuan Wyn Jones**

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#### Witnesses:

**David Sutherland, Cyngor Bwrdeistref Sirol Pen-y-bont ar Ogwr**  
**Dr Rosie Plummer, Director, National Botanical Garden of Wales**  
**Clive Edwards, National Botanical Garden of Wales**  
**Fiona Jenkins, Bwrdd Iechyd Prifysgol Caerdydd a'r Fro**  
**Lynne Aston, Bwrdd Iechyd Prifysgol Caerdydd a'r Fro**

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#### Committee Staff:

**Gareth Price (Clerc)**  
**Daniel Collier (Dirprwy Glerc)**  
**Eleanor Roy (Ymchwilydd)**  
**Joanest Jackson (Cynghorydd Cyfreithiol)**  
**Kerry Dearden (Ymchwilydd)**

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### 1. Cyflwyniad, ymddiheuriadau a dirprwyon

1.1 Croesawodd y Cadeirydd yr Aelodau ac aelodau o'r cyhoedd i'r cyfarfod.

## **2. Buddsoddi i Arbed – Tystiolaeth gan Gyngor Sir Pen-y-bont ar Ogwr**

2.1 Croesawodd y Cadeirydd David Sutherland, Pennaeth TGCh ac Eiddo, Cyngor Bwrdeistref Sirol Pen-y-bont ar Ogwr, i'r cyfarfod.

2.2 Holodd yr Aelodau y tyst.

### **Camau i'w cymryd:**

Cytunodd David Sutherland i ddarparu:

- Nodyn yn esbonio'r gwaith cynllunio ariannol a wnaed gan Gyngor Bwrdeistref Sirol Pen-y-bont ar Ogwr o ran y prosiect Gwella Eich Lle, gan gynnwys a gafodd arian cyfatebol ei ddefnyddio.

## **3. Buddsoddi i Arbed – Tystiolaeth gan Ardd Fotaneg Genedlaethol Cymru**

3.1 Croesawodd y Cadeirydd Dr Rosie Plummer, Cyfarwyddwr Gardd Fotaneg Genedlaethol Cymru a Clive Edwards, Pennaeth Cyfleusterau, Gardd Fotaneg Genedlaethol Cymru, i'r cyfarfod.

3.2 Holodd yr Aelodau y tystion.

## **4. Buddsoddi i Arbed – Tystiolaeth gan Fwrdd Iechyd Prifysgol Caerdydd a'r Fro**

4.1 Croesawodd y Cadeirydd Fiona Jenkins, Cyfarwyddwr Therapiau a Gwyddor Iechyd.

4.2 Holodd yr Aelodau y tystion.

## **5. Papurau i'w nodi**

5.1 Nododd yr Aelodau gofnodion cyfarfod 7 Tachwedd 2012.

## **6. Cynnig o dan Reol Sefydlog 17.42 i benderfynu gwahardd y cyhoedd o'r cyfarfod ar gyfer y canlynol:**

Eitemau 7 i 9.

## **7. Buddsoddi i Arbed – Trafod y dystiolaeth**

7.1 Trafododd yr Aelodau y dystiolaeth a gafwyd ar Buddsoddi i Arbed.

## **8. Pennu cwmpas yr Ymchwiliad i Reoli Asedau**

8.1 Trafododd yr Aelodau gwmpas ei ymchwiliad i Reoli Asedau.

## **9. Ystyried yr adroddiad drafft ar Gyllid Strwythurol Ewropeaidd yng Nghymru**

9.1 Trafododd yr Aelodau ei adroddiad drafft ar Effeithiolrwydd y Cronfeydd Strwythurol Ewropeaidd yng Nghymru.

## Finance Committee

### Financial Scrutiny of Local Government (Democracy) (Wales) Bill

Paper to note: Financial Memorandum

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Date of paper

27 November 2012

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#### Related Information

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The Local Government (Democracy) (Wales) Bill (as introduced no link)

Explanatory Memorandum to the Local Government (Democracy) (Wales) Bill

[Welsh Government White Paper: Promoting Local Democracy](#)

This briefing has been produced by the Research Service  
for use by Finance Committee.

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Research  
Service



Committee Reference: FIN(4) 21-12

## 1. Introduction

The *Local Government (Democracy) (Wales) Bill* was introduced before the Assembly on 26 November 2012. The Communities, Equality and Local Government Committee will consider and report on the Bill's **general principles** by 8 March 2013.

## 2. Aims and content

According to the Welsh Government, it has identified a number of problems in Wales relating to how the geographic and numerical structure of councils are set; how scrutiny takes place of councils and councillors; and how the remuneration of councillors is set.

As such, this Bill is intended to amend the statutory framework which covers these areas in order to strengthen the effectiveness of democracy at a local level. The Bill's **main provisions relate to reforming how the Local Government Boundary Commission for Wales works (sections 1-50)**. The next thirteen sections relate to the following:

- Amending the responsibilities of the Independent Remuneration Panel for Wales;
- Improving the public's access to information about town and community councils;
- Enabling local authorities to create joint standard committees to oversee the ethical framework for local government;
- Amending the membership requirements of audit committees which scrutinise local authorities;
- Widening the role of democratic services committees to assist councillors with their responsibilities;
- Making provision about the role of Chairs and Mayors of principal councils.

## 3. Financial implications of the Bill

The costings in the Explanatory Memorandum (EM) are separated into six parts.

- **Three of these sections have no costs** associated these are: *Powers of the Independent Remuneration Panel; Changes to certain council committees* and *Presiding Members of Principal Councils*.
- A further section, *Regional standards committees*, **has no anticipated additional costs associated with the proposed powers**. The EM states that there will be an impact, with savings accruing as a result of the need for fewer members of standards committees due to the establishment of joint committees. This is estimated to be "**on average approximately £1,000 per person per year**", this would need to be "offset by a potential increase in travel and subsistence costs for committees covering a larger geographical area". No estimation of the balance between these costs and savings has been made.
- One section, Reforms to the Local Government Boundary Commission for Wales has a cost of **up to £5,000** relating to a change of name of the Local Government Boundary Commission. There are **other potential costs**: if the Commission were to utilise new order making powers, this would cost £2,000; and should the Commission charge a

Committee Reference: FIN(4) 21-12

principal council for carrying out a community area review, this charge would be between £8,000 and £15,000.

- The section *Access to information – town and community councils*, has no overall estimate of the financial impact and according to the EM “**it could be argued that there are significant costs associated with this provision especially when setting up an individual website, however, this is not necessarily the case**”. The EM states that of over 700 community councils around 350 do not have a website. A rough estimate of £2,000 to start up a website is given in the EM, this gives a **potential of £700,000 in start-up costs**. Along with a **potential estimate of £350,000 annual maintenance**. £1,000 per site. However, the EM states that this needs to be weighed up against potential savings in removing the need for papers and hard copies and could be considerably reduced through using shared facilities.

**Table – Summary of costs stated in Explanatory Memorandum**

Bill Section	Start-up costs £	Annual costs £	Notes
Reforms to the Local Government Boundary Commission for Wales	5,000	**	Welsh Government / Boundary Commission costs. *** – community area reviews cost between £8,000 and £15,000, would be charged to principal councils. Cost to using order making powers, £2,000.
Powers of the Independent Remuneration Board	0	0	
Changes to certain council committees	0	0	
Access to information –town and community councils	up to £700,000	up to £350,000	350 town and community councils, £2,000 start-up, £1,000 annual maintenance. Collaborative working would remove need for these councils to develop websites reducing this cost considerably. £1,000 per person per year saving through a reduction in the number of standards committee members, potentially offset by increases in travel and subsistence expenses.
Regional standards committees	0	0	
Presiding Members of Principal Councils	0	0	
Overall	up to £700,000	up to £350,000	

Source: Research Service presentation of information in the Explanatory Memorandum

#### 4. Key Issues

Overall costs implications highlighted are relatively minor. The majority of the issues are related to the uncertainty of the financial implications of the requirement for all community and town councils in Wales to provide electronic capacity and websites.

- An estimate of the cost of starting a website is given and potential overall costs if there were to be no collaboration, **the EM does not make it clear what the Welsh Government consider the overall anticipated costs to be.**
- The EM states that it is the intention of the Welsh Government to work with community councils and their organisations, and to produce guidance so as both to identify cost effective methods of implementation and to see how collaborating together this policy may be pursued in the most effective manner. **There is no estimation of the cost of providing this support and guidance.**
- There are likely savings expected in terms of establishing joint standards committees, this appears reasonable but **likely overall savings have not been quantified.**

Jane Hutt AC / AM  
Y Gweinidog Cyllid ac Arweinydd y Ty  
Minister for Finance and Leader of the House



Llywodraeth Cymru  
Welsh Government

Eich cyf/Your ref  
Ein cyf/Our ref SF/JH/3459/12  
Jocelyn Davies AM,  
Chair, Finance Committee,  
The National Assembly for  
Wales,  
Cardiff Bay,  
Cardiff  
CF99 1NA

26 November 2012

*Dear Jocelyn*

### **Inquiry into the Welsh Government's Invest-to-Save Fund**

Following my recent attendance at the Finance Committee's hearing on 7 November, I am now writing with the additional information I agreed to provide to help inform your inquiry.

#### **1). Action undertaken following the recommendations of the Welsh Government's interim evaluation of the Invest-to-Save Fund**

I can confirm that the recommendations of the evaluation were accepted and that an action plan was put in place to take matters forward. Work on delivering actions has now mostly been completed and I attach a summarised progress update at Annex A for your information.

#### **2). Provisional schedule of Invest-to-Save forward project repayments**

Attached at Annex B is a table showing the agreed scheduled Invest-to-Save repayments over the next two financial years. The figures in the Annex reconcile with those published in the draft budget, where we have from 2013-14 provided greater transparency of the Invest-to-Save funding. I agreed at the Committee hearing, that in the future I will publish reconciliation information on an annual basis.

On actual repayments to date, I can report that we had recovered £9 million by the end of 2011-12 and that we are on track to recover a further £9.2 in the current financial year.

Bae Caerdydd • Cardiff Bay  
Caerdydd • Cardiff  
CF99 1NA

*Wedi'i argraffu ar bapur wedi'i ailgylchu (100%)*

English Enquiry Line 0845 010 3300  
Llinell Ymholiadau Cymraeg 0845 010 4400  
Correspondence: Jane.Hutt@wales.gsi.gov.uk  
*Printed on 100% recycled paper*

Repayments already made and those agreed and scheduled for future repayment, provide us with an insight into the progress being made by supported projects towards releasing projected savings. I have also previously drawn attention to the project case studies that I have published, where the majority of projects have provided actual savings data.

Work on establishing a more comprehensive account of the benefits delivered by the Invest-to-Save programme has been programmed to coincide with the maturity of supported projects. The external evaluation of the Fund that we discussed is at an advanced stage of planning and this work will specifically explore the progress towards the delivery of project savings alongside wider benefits. I anticipate publishing a final report of this work at the end of next year.

### **3.) Fall-off of projects between Stage 1 and Stage 2 of project appraisal**

During the hearing, you asked for a note on the fall-off of projects between stage 1 and stage 2 of the appraisal process. We discussed a reduction in the number of bids submitted between funding rounds, which is most likely to be a consequence of there now being a better understanding by some applicants of the purposes of the fund, but also as a consequence of our targeting of the fund towards more strategic, higher value project proposals and value-for-money considerations.

Since the interim evaluation of the Fund was undertaken, two further funding rounds have been completed. Round V received a total of 17 new project bids (expressions of interest) and three bids were carried over from the previous funding round for further consideration. The outcome of these bids was as follows:

- the three projects carried over from round IV were all withdrawn by the applicants – two on the grounds that they had found alternative funding in-house to proceed without the need for Invest-to-Save support and the third (having undertaken further scoping work), decided not to proceed with their project, but instead pursue an alternative approach;
- four projects were awarded invest-to-save support;
- two projects were short-listed for further consideration;
- three projects were directed to alternative, more appropriate sources of finance;
- one bid from the private sector was rejected on the grounds that it did not meet the Fund's criteria; and,
- seven bids from one health board were not supported on the grounds that they were relatively small scale and offered only marginal financial and non-financial benefits. Given the number of unsuccessful bids by this organisation, the Invest-to-Save Unit has subsequently held a workshop with them to help develop an understanding of the Fund's criteria and to explore proposals for submission in future funding rounds. At least two of the bids from this round are being developed further with a view to re-submission in Round VII.

In Round VI there were 11 new project bids and two bids from the previous funding round. The outcome of these bids was as follows:

- Eight projects were awarded invest-to-save support, including one that had been carried over from the previous funding round;



- One project was short-listed for further consideration;
- One project was withdrawn by the applicant; and,
- One project was rejected on the grounds that it related to a non-devolved matter.

One project was not supported on the grounds that the case for investment had not been made convincingly. The project promoters were advised about the evidence needed to support the proposal should they wish for it to be considered further.

*Beef wishes,*

*Jane*

**Jane Hutt AC / AM**

Y Gweinidog Cyllid ac Arweinydd y Ty  
Minister for Finance and Leader of the House

FIN(4)-21-12 Paper 4

## Interim Evaluation of Welsh Government's Invest-to-Save Fund: recommendations and actions undertaken

Recommendation	Response/action undertaken
<p><b>Recommendation 1:</b> there is strong support for the continuation of the Invest-to-Save Fund to support cash-releasing public service improvement projects across Wales and as such, <b>commissioning an independent evaluation of actual project outcomes in 12-18 months time (between early and mid 2013) is recommended.</b></p>	<p>Agree – a return to projects to assess their achievement of outcomes was always intended and doing this in 12-18 months time when sufficient projects have been completed, is a sensible timeframe.</p> <ul style="list-style-type: none"> <li>• Scope and terms of reference for external evaluation have been prepared and a budget identified.</li> <li>• Specification for work is currently being drawn up.</li> <li>• Aim is to appoint external reviewer and to get work underway by April 2013 with interim findings being reported around August 2013 and for the evaluation to be completed by December 2013.</li> </ul>
<p><b>Recommendation 2:</b> Given the borrowing restrictions that govern the NHS, the <b>appraisal of Invest-to-Save bids should recognise how key the Fund can be in supporting projects involving the NHS.</b></p>	<p>Agree - there is a continuing need for the NHS to identify and champion their priority I2S projects so that the sector can maximise the benefits it receives from the fund.</p> <ul style="list-style-type: none"> <li>• DHSSC Finance Directorate has a key role in helping to facilitate and therefore membership of I2S official's panel includes an official from Directorate.</li> <li>• Continue to raise awareness of fund within sector. Joint Ministerial visit made to Cardiff &amp; Vale non-emergency transport project. Officials liaising with NHS Directors of Finance and undertaking workshops with Health Boards.</li> </ul>
<p><b>Recommendation 3:</b> there is a <b>continuing need to promote local government engagement with the Fund</b>, but recognising that the sector has access to alternative sources of funding.</p>	<p>Agree - the Fund has been widely promoted across local government &amp; this work will continue so that it can be considered as a potential enabler of improvement projects, where other forms of funding aren't readily available.</p> <ul style="list-style-type: none"> <li>• Finance Minister and Minister for Local Government &amp; Communities jointly wrote to council Leaders on 8 March 2012 to announce forthcoming funding rounds.</li> <li>• Continued liaison with WLGA and Finance Directors through leadership events and direct e-mail to raise/maintain awareness.</li> </ul>

## Annex A (cont.)

Recommendation	Response/action undertaken
<p><b>Recommendation 4:</b> consideration should continue to be given to the <b>number and value of projects funded</b> in future rounds and the impact this has on maximising efficiencies.</p>	<p>Agree – efficiencies might be maximised by targeting fewer, but more strategic, higher value projects. Higher value projects can also be a characteristic of collaborative projects. The evaluation report notes that more could be done to encourage collaborative working.</p> <p>Review undertaken of minimum project value threshold (previously set at £100k minimum) following which threshold was increased to £200k &amp; introduced at Round VI.</p>
<p><b>Recommendation 5:</b> while there are no significant issues with the bidding process as it stands, the evidence suggests that the following refinements might be considered:</p> <p>a) <b>Introducing themes</b> for each round of bidding to target specific Welsh Government priorities.</p> <p>b) Introducing an <b>external member</b>, from outside the Welsh Government on the Invest-to-Save Panel.</p> <p>c) Invest-to-Save bids are <b>appraised alongside other forms of Welsh Government support</b>, such as Centrally Retained Capital (CRC) funding.</p> <p>d) Reviewing the <b>timescale for submitting expressions of interest</b>.</p>	<p>Agree – all four points to be considered.</p> <ul style="list-style-type: none"> <li>• Fund aligned with the work of Public Service Leadership Group (PSLG) workstreams and reviewed with workstreams in advance of funding rounds being announced.</li> <li>• External member not appointed, but external view on bids achieved by seeking comments from PSLG workstream leads.</li> <li>• I2S is mostly mutually exclusive of other assistance, but where CRC funding is sought arrangements are in place to explore possible linked appraisal.</li> <li>• I2S/CRC share panel members at official level.</li> <li>• Forward rounds of I2S have been established and timetables published to enable applicant's ample time to prepare and submit bids.</li> </ul>
<p><b>Recommendation 6:</b> the <b>additionality</b> of Invest-to-Save funding should be explored in more detail in the any future independent evaluation.</p>	<p>Agree. The repayable nature of I2S stands it apart from other forms of Welsh Government support, but nevertheless projects are still required to demonstrate a level of additionality. Exploring this further in a future evaluation is sensible. Actions undertaken.</p> <ul style="list-style-type: none"> <li>• Additional questions relating to additionality have been included in expression of interest application form.</li> <li>• Issue included in terms of reference of forthcoming external evaluation of Fund.</li> </ul>
<p><b>Recommendation 7:</b> consideration should be given to how <b>project managers could be encouraged to self-evaluate their projects, with support offered in the form of guidance and/or standardised tools and techniques to demonstrate benefits and savings</b>.</p>	<p>Agree. It is important to promote best practice and to encourage a consistent methodology and approach as this will subsequently assist any future evaluation of the Fund by the Welsh Government.</p> <ul style="list-style-type: none"> <li>• New arrangements introduced at Round VI, whereby project evaluation arrangements are discussed with WG social researcher.</li> <li>• Welsh Government Social Research Team now assist in provision of evaluation guidance and/or standardised tools and techniques.</li> <li>• Views of Welsh Government Social Research Team sought on project evaluation proposals.</li> </ul>

## Invest-to-Save Fund: schedule of future repayments arising from project investments

### Revenue

	2013-14 (£000's)	2014-15 (£000's)
<b>Repayments relating to NHS Local Health Boards &amp; NHS Trusts</b>		
Public Sector Broadband Aggregation (PSBA): NHS all-Wales	450	
Non-Emergency Patient Transport Pilots: partnerships involving NHS Wales, local government and Third Sector	130	40
Reconfiguration of Molecular Pathology Services: Cardiff & Vale University Health Board	51	
National Voluntary Early Release Scheme (VERS): NHS Wales	7,900	4300
Enhanced Recovery 1000 lives Collaborative Programme (ERP) - NHS all-Wales	216	137
E-expenses system: NHS Shared Service Partnership	94	
Digital Dictation / Speech Recognition: Betsi Cadwaladr University Health Board	200	319
Care Closer to Home: Hywel Dda Health Board	500	900
E-rostering: Hywel Dda Health Board	128	127
The Wyn Campaign: Regaining & Retaining Independence: Cardiff & Vale University Health Board	100	
E-rostering: Aneurin Bevan Health Boards	120	120
Medicines Management: Aneurin Bevan Health Board		440
Integrated Whole System Intermediate Care Model: Cwm Taf Health Board		200
NHS All-Wales Collaborative Procurement Programme To Acquire Adult Mental Health Services: NHS Wales	300	
<b>Total</b>	<b>10,189</b>	<b>6,583</b>

<b>Repayments relating to public sector broadband initiative (see above for NHS PSBA repayments)</b>		
Public Sector Broadband Aggregation (PSBA) Accelerating the benefits	1250	400
Public Sector Broadband Aggregation (PSBA) Connectivity for Gwent	679	
Public Sector Broadband Aggregation (PSBA) Connectivity for North Wales	500	500
Public Sector Broadband Aggregation (PSBA) Connectivity - remaining organisations	500	500
<b>Total</b>	<b>2,929</b>	<b>1,400</b>

<b>Repayment relating to establishment of Natural Resources body for Wales</b>	<b>0</b>	<b>1,512</b>
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**Annex B (cont.)**

**Capital**

	<b>2013-14 (£000's)</b>	<b>2014-15 (£000's)</b>
CCTV Collaborative Working: Conwy County Borough Council	290	290
Merthyr Tydfil xchangewales e-procurement system: Merthyr Tydfil County Borough Council	100	115
Transactional WEB development: Newport City Council	135	135
Multi-Agency Face-to-Face Centre - "One Newport Information Station" : Newport City Council	200	200
Maximising Land & Property Assets "Our-Space" project: Cardiff Council	100	100
I-zone, One-Stop-Shop for Students: Cardiff Metropolitan University (UWIC)	222	
Carbon Emission & Energy Use Reduction: Wrexham County Borough Council	215	215
Powys School Modernisation Programme: Powys County Council	55	100
Paperless Powys: Powys County Council	188	
Fostering Spend-to-Save Strategy: Neath Port Talbot County Borough Council	129	
Lean Systems Review of Children & Young People's Assessment and Case Management Services: Neath Port Talbot County Borough Council	111	
Gwent Frailty Project: Aneurin Bevan Health Board in partnership with Local Government	1,000	1,500
Public Service Leadership/National Assets Essential Skills Support Programme	129	
Greening the Garden' - PVSolar Installation: National Botanical Garden of Wales	20	20
Workplace Transformation: Blaenau Gwent County Borough Council	250	130
Xchangewales eTrading implementation		180
<b>Total</b>	<b>3,144</b>	<b>2,985</b>

# INVEST-TO-SAVE FUND

## POST IMPLEMENTATION EVALUATION & REVIEW PROFORMA



Llywodraeth Cynulliad Cymru  
Welsh Assembly Government

**Finance Committee**  
**FIN(4) 21-12 – Paper 5**

### **Project Title & I2S Reference**

I2S-09-013-Creating a student one stop shop

### **Lead Organisation**

Cardiff Metropolitan University (formerly UWIC)

### **Project Senior Responsible Officer (SRO)**

Pam Ackroyd, Pro Vice Chancellor (Operations)

### **Project Aim & Objectives**

Cardiff Metropolitan University wished to develop a one-stop-shop at its main academic campus, to significantly improve services and accessibility to its students (and potential students). This aim was in keeping with the Welsh Assembly Government's agenda for citizen-focussed services and achieving efficiency gains.

### **Projected Benefits: UPDATE REPORT NOVEMBER 2012**

#### **What have been the key achievements, focussing on key outputs and outcomes for citizens? How are these outcomes measured?**

*Evidence, both qualitative and quantitative, should be provided to show the difference made and link to the agreed outcome measures.*

This Project Evaluation Report provides an update on the i-zone project, for the period September 2010 to date

The i-zone has been fully operational for two full academic years, 2010/11 and 2011/12 and is now entering its third year of operation.

The report provides an update against each of the original aims and objectives and includes quantitative data.

#### **Service Principles:**

*The One-Stop-Shop should provide students with:*

- *Contact that is easy and convenient,*
- *Polite, friendly, knowledgeable staff,*
- *A feedback mechanism to report service improvements*

- *A quick and correct response – first time every time.*

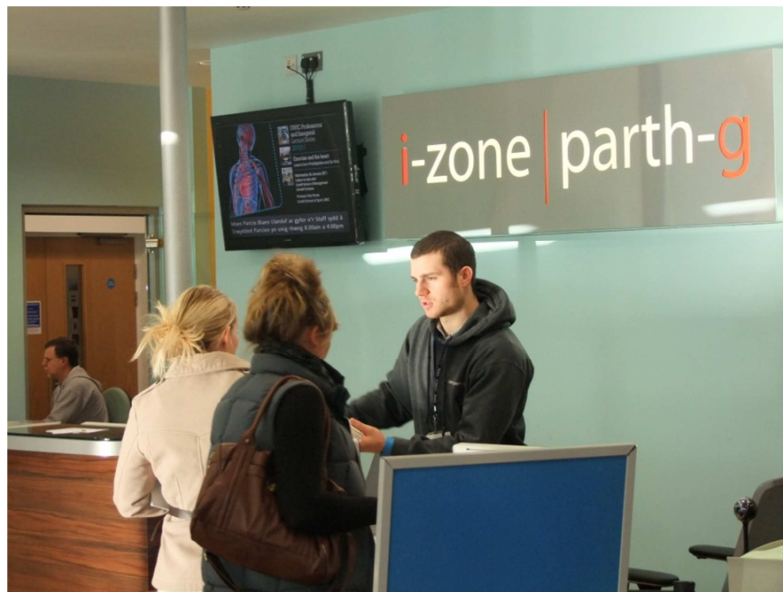
*Students should:*

- *not be passed from “pillar to post”,*
- *not be burdened with unnecessary bureaucracy,*
- *not have to repeat what they have already said,*
- *not have to understand our team/UWIC structures,*

**Aims and objectives:**

- ***An aesthetic highly functional environment that effectively serves a variety of transactions and engagements with students; provides an excellent working environment for the Hub team***
- The i-zone is delivering the range of functions as originally set out, such as the verification of qualifications, CRB checks, fee and studio payments, International letter requests, Council tax enquiries, International social trip bookings and payments, ID card support, met Rider bus passes, assignments hand-ins, accommodation enquiries and payments, careers drop-ins etc.

The environment has received considerable positive comment from both internal students and staff and external visitors. It features in two Videos online.



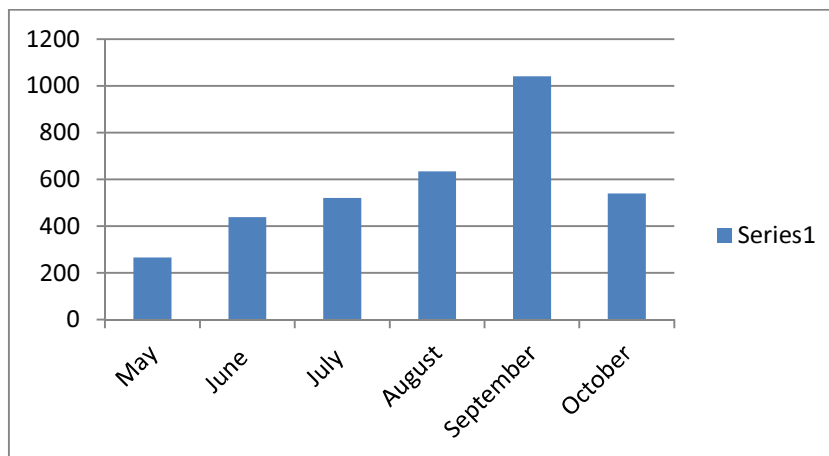
- ***Our students can access i-zone services through physical and e-portal resources***
- Students are now able to access the i-zone through ‘multiple-channels’ – *campus based, face to face, or virtually* through Facebook, Twitter, email, text, website, and of course (mobile) phone. Current developmental objectives include driving the development of mobile accessible e-portal and self services, linked to wider university initiatives such as ‘Webpayments’ allowing students to pay online and the ‘Self-enrolment web portal (see below).
- ***Convenient operating hours eg 8.30 – 16.00 Monday – Friday***
- The i-zone has been open for 7 hours daily from 9.00am – 4.00pm,

4 days a week (Wednesdays for 6 hours (10.0am – 4.0 pm), the 9.0-10.0 allowing for staff Team meetings). As previously noted, the original project identified that there was no demand for an evening or weekend service. The service has however responded to demand, opening until 6 pm on occasions. The extended (flexible) opening hours compared to the previous service hours are greatly appreciated by the students; in the first year of opening the i-zone received numerous positive comments to illustrate this point.

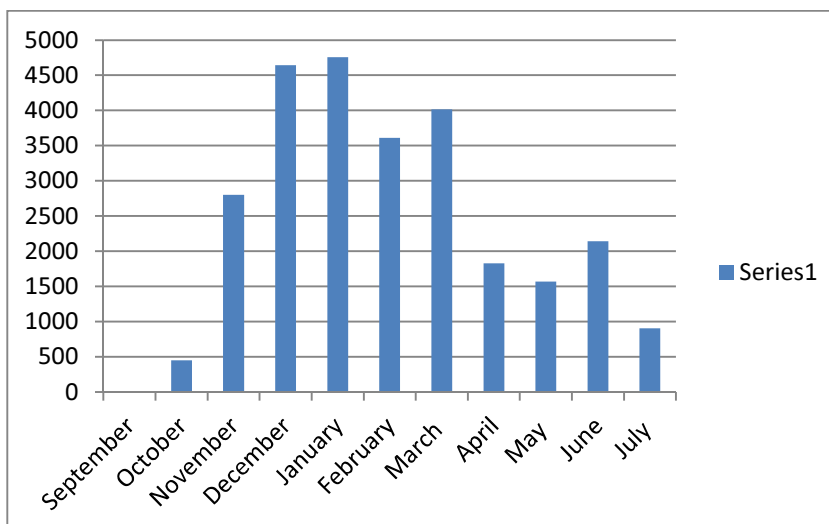
- **Access by telephone, email, face-to-face, post, fax, web chat, and through a self-service portal 24 hours a day, 7 days a week**

As noted above, the i-zone is developing its 'multi-channel' strategy to ensure the service is demand-driven and technology/new media-enabled with self service taking account of wider University 'self-service' initiatives. It is being developed within an enterprise wide framework that is taking account of mobile technologies and infrastructure. Fax is no longer used. Students are able to call 24/7 and if outside the opening hours messages are recorded and responded to within 24hours. Students wishing to drop off assignments outside the opening hours have a 'Drop-box' facility to ensure they can between 16.00 – 09.00 hours.

The most recent statistics of access by telephone show levels of demand: 6 months May-October 2012



Face to face data for 2011 and 2012 is below.



- ***Development of a student request management system which allows Cardiff Metropolitan to identify any weaknesses in the service provided to support the redesign of processes or reallocation of resources***

This is as described above but will also be incorporated into the University's wider mobile strategy as it develops.

- ***Excellent customer service is provided by highly motivated staff – the service provided is professional, consistent, co-ordinated and proactive***

- An intensive 8 week training programme was undertaken by staff with input by all the other central support units that work in partnership with the i-zone. The training included Customer Service training and Team building. On-going, service-demand-driven process training has taken place on over twenty topics such as the Data Protection Act, Awareness of and dealing with student problems, Counselling etc. The service is now making use of key corporate enterprise IT systems such as the new Student Record system to quickly access student data. Whereas students might have waited days previously for answers to their questions now the majority are answered and resolved on the spot.
- A core team has been established and is delivering a high standard and consistent level of service. Feedback from staff and students (via feedback postcards) has been very positive and where students are not happy with particular aspects of services such as the Cardiff Bus metrider, it provides a mechanism to quickly feed back student concerns. Consistency is provided through it being procedure-driven.

- ***The Hub team take ownership for all transactions – Aim for all multiple (cross disciplinary) transactions being managed through one interaction***

The I-Zone Hub is taking ownership of transactional histories but as reported above this will become more efficient as a new STUDENT SELF SERVICE AND I-ZONE ENQUIRIES SYSTEM is brought into operation.

- ***75% of queries are resolved without referral to the back office***

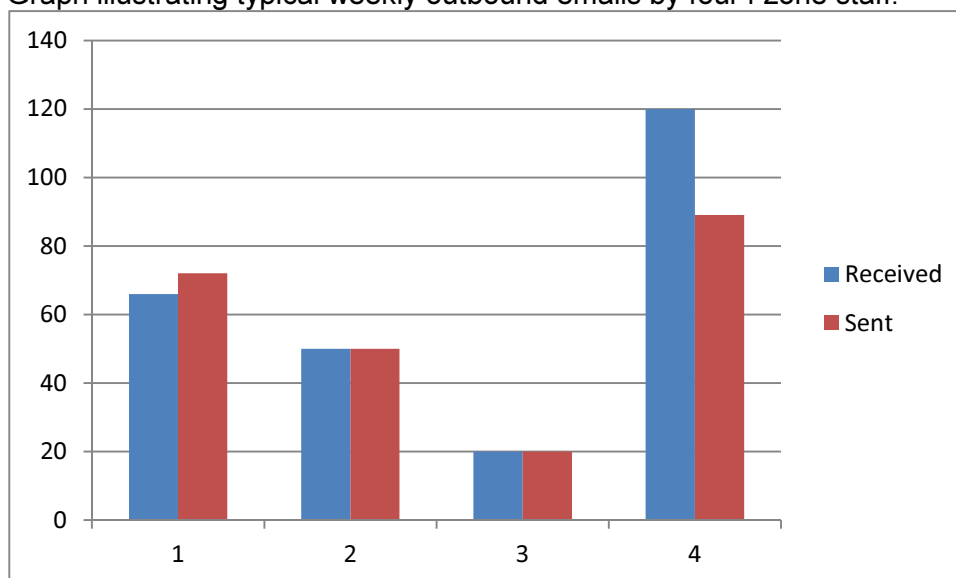
- There is consensus that the i-zone exceeds the 75% target and that 'unresolved' issues account for less than 5% of enquiries. The highest 'referral rate' is for international students to the International Office, due largely to the specialist knowledge required in dealing with UK Border Agency compliance issues. Referrals are also made to specialist services within the Student Services unit such as Disability and Counselling and also to the Academic Registry in regard to enrolment issues or student loan /enrolment status issues.
- Having the i-zone as the central collection point for student certificates and other documents (e.g. Council Tax exemption letters and 'metRider' bus passes) has been a major success with the extended opening hours over previous levels available to students.

- ***A quarter of all contact is outbound, e.g. to update customers with progress, and chase and escalate non compliance***

- Outgoing communication includes receipts for assignments, daily responses to emails on a wide range of topics and where necessary 'mass' emails to all

Llandaff based students via SMS using the University's Communications Manager. Inbound and outbound data monitoring is increasing.

Graph illustrating typical weekly outbound emails by four i-zone staff.



- **50% of requests are resolved using self-service**
- 'Self service' is part of the University's wider move to empower students and provide them with convenient channels to access and undertake transactions themselves. The introduction of 'Webpayments', an online payment system enables students (or parents or sponsors of students) to make payments online from anywhere in the world. The new Student Records system has facilitated students being able to enrol themselves ('self-enrolment'). The University has recently introduced its first mobile 'app'. The new STUDENT SELF SERVICE AND I-ZONE ENQUIRIES SYSTEM will move toward the 50% target once implemented.

- **Back office teams are able to focus on enquiries that require specialist knowledge and are able to go the extra mile**

As projected, there has been a significant transfer and simplifying of 'front facing' duties that have in turn released staff (such as Academic Registry) to concentrate on specialist duties. This has also coincided with central service mergers and efficiency gains such as the Student Services, Academic Registry and I-Zone merger.

- **i-zone ensures that services are responsive and accountable to all students by regular reporting and consultation**

Formal reporting on the i-zone is via line management. The use of the ongoing feedback system (postcards) is at present being supplemented via engagement with the Cardiff School of Management students from Marketing who have already used the i-zone as a live project. Evaluating awareness and perceptions of the i-zone was part of the study that was commissioned to ensure the i-zone services are responsive. Students participated in a survey.

- **90 % of customers are satisfied with the service and 75% report that we have exceeded their expectations**

- Captured feedback from staff and students remains very positive and sampling satisfaction levels suggests the 90% target is exceeded. Feedback is also obtained through on-going meetings with the Heads of other key stakeholders such as the Communication, Marketing and Student Recruitment department, Academic Registry, Student Services and Accommodation. Bi-annual meetings are scheduled and include the Student Union.
- ***The i-zone can demonstrate to Cardiff Metropolitan University the value it is adding***

The former Head of the Cardiff School of Management reported that with the relocation of the school from Colchester Avenue to Llandaff there had been a noticeable fall in enquiries to his academic and support staff as students were able to go to the i-zone. The Director of Learning and Teaching in the Cardiff School of Art and Design has also acknowledged that the i-zone is providing a high value service to art and design students not only through its existing services but in taking on new ones such as Art and Design students being able to pay for artist's materials at the i-zone, a function previously undertaken within the school.

- ***The i-zone is shortlisted for an external customer service award and is a bench mark for other HE's/public bodies.***
- Cardiff Metropolitan University's i-zone was recognized for the prestigious Times Higher Education Leadership and Management Awards 2011 for their Outstanding Students Services Team, the only one in Wales and one of only six in the UK.
- The i-zone plays an important contributory role with its engagement and service to our international students, working in close partnership with the International Office. Nominated for the third year running, Cardiff Metropolitan University has been ranked overall top in the UK for international student satisfaction. The University has also topped the world rankings for the quality of the student support. Conducted by i-graduate, the latest International Student Barometer (ISB), an independent research service specialising in the international education market. The survey is now the largest study of international students in the world and attracts feedback from over 400,000 students annually.
- In addition to the University holding the Investors in People Award, the University previously held for 12 consecutive years the Government's CharterMark award, now rebranded the Customer Service Excellence award reflecting the embedded nature of our customer service ethos and culture. The service has been visited by the University of Glamorgan and recently Aberdeen University indicating its benchmark status.

**What was the value of financial savings achieved? Please specify whether: cash savings, net of investment, recurrent in nature.**

The following tables (1.1 & 1.2) were used originally to provide a summary of costs and forecasted savings. These have been updated to reflect actuals for the period to date (shown in red):

**Table 1.1 Cost profile**

Note ref	Cost description	09/10 (ac yr) (development phase)	10/11	11/12	12/13 forecasted	13/14 forecasted
1	Capital build	£860k*	-	-	-	-
2	Build design / Project management 15%	£120k	-	-	-	-
3	Equipment / furnishing	£23k	-	-	-	-
4	Staffing	£2k	£12.5k	£23k	£23k	£23k
5	Staff development	£2k	£0k	£5k	£5k	£5k
6	Operational	£0k	£10k	£10k	£10k	£10k
	<b>Sub total</b>	<b>£1007k (+vat)</b>	<b>£22.5k</b>	<b>£38k</b>	<b>£38k</b>	<b>£38k</b>
<b>7</b>	<b>Total grant investment (revised based on actual)</b>	<b>£718.5k</b>				

- 1) Capital build cost increased as the original scheme changed to allow for re-provision of corporate reception within the design. The cost difference was funded by UWIC.
- 4) 09/10 staffing costs related to the project manager. Appointment to the post was through redeployment in UWIC, with no backfill. Therefore only the additional salary cost is attributed to the project.
- 4) 10/11+ salary costs were lower than forecasted as all (except one Part time i-zone Advisor) of the posts were appointed through redeployment (with no backfill). During 11/12, there was a requirement to increase the hours of the part time Advsior to full time.
- 5) Staff development costs lower than forecast. Initial training was primarily delivered in house through specialists in each service area. Customer service training was the only cost element at circa £1.5k, along with some travel costs to visit other university services. The training and costs straddled the two financial years 09/10 and 10/11 hence no costs showing in 10/11. Budget allowance remains for subsequent years.
- 6) Minor expenditure included within 3) Expenditure / furnishing
- 7) The final investment loan was reduced to £718.5k

**Table 1.2 Savings profile**

Saving and repayment profile	10/11 Achieved	11/12 Planned / Recurrent	12/13 Planned / Recurrent	13/14 Planned / Recurrent
1) Phase 1 posts	£119k	£119k	£119k	£119k
1) Phase 2 posts		£87k	£87k	£87k
1) Post G	-	-	£29k	£29k
2) Process Efficiency	£9k	£9k	£15k	£15k
3) UWIC broader efficiency savings	-	-	-	£625k
<b>Sub total</b>	<b>£128k</b>	£208k	£208k	£833k

Labour savings achieved at start up were higher than forecasted; however subsequent to this, the University underwent a significant restructuring and



voluntary severance round, ultimately reducing its labour costs by approximately £3m pa.

Part of this restructuring included the merger of the Izone service with two other service areas. As a result the University is unable to directly associate labour savings with this service; although it is clear that the existence of the service supported the restructuring process

**What customer feedback have you had and what stories can illustrate the success of the programme?**

In addition to the above points, students from the Cardiff School of Management's Marketing programme are continuing to use the i-zone as a live project to research and gain student and staff feedback and inform development. This builds on the previous project and positive feedback from the students sampled.

Specific examples of the numerous feedback comments include: 'Always great' (service); 'Very happy, fast effective service'; 'Very cheerful and efficient staff working today'; 'Always nice service! Thank you'; 'Exceptional service'; 'Dealt efficiently and promptly with a difficult enquiry'; 'Fast, friendly and efficient'; 'I always find them helpful'; 'Very nice facilities. A lot nicer than the other university in Cardiff and staff much more pleasant'; 'Excellent services'; 'He has always helped me and even on my last day helped me with a smile'; 'Being open until 4.0 p.m may have just saved my degree. Thank you'; 'It's really posh here now'; 'The service was exemplary. Thank you'.

**EVALUATION:**

*(reflecting on key processes and success factors such as: planning, commitment, communication, technical / operational, people, management, procurement, suppliers, resources).*

**What benefit has there been by this being identified as a priority Invest-to-Save project?**

The Welsh Government's Invest to Save scheme was an important component of the i-zone's development. It is very unlikely that the project would have been implemented without the I2S investment

The investment has had numerous organizational benefits as recorded above.

**To be Completed by SRO/Project Manager**

**Name:** .....

**Contact telephone number:** .....

**Date:**.....

**Please complete and return this form to Lee Thomas at [lee.thomas2@wales.gsi.gov.uk](mailto:lee.thomas2@wales.gsi.gov.uk) by no later than 25<sup>th</sup> March 2011.**

**Y Pwyllgor Plant a Phobl Ifanc  
Children and Young People Committee**

Cynulliad  
Cenedlaethol  
Cymru

National  
Assembly for  
Wales



Jocelyn Davies AM  
Chair  
Finance Committee  
National Assembly for Wales  
Cardiff Bay  
Cardiff CF99 1NA

Bae Caerdydd / Cardiff Bay  
Caerdydd / Cardiff CF99 1NA

27 November 2012

Dear Jocelyn

At its meeting on 18 October, the Children and Young Committee undertook training on Children's Human Rights, delivered by the Wales Observatory on Human Rights of Children and Young People and the Wales UNCRC Monitoring Group.

The aim of the session was to examine the duties imposed on Welsh Ministers under the Rights of Children and Young Persons (Wales) Measure 2011, identify how they can be held accountable for fulfilling those duties, and the role of Assembly Committees in connection with the Measure.

Members found the training extremely useful and also noted its importance and relevance to other Committees. I am therefore writing to commend this training to you and members of the Finance Committee. If you would like any further details about the course or would be interested in holding a similar session, Carys Eyton Evans, Head of Member Liaison and Professional Development, would be happy to help.

Yours sincerely

**Christine Chapman AM  
Committee Chair**

Bae Caerdydd  
Cardiff Bay  
CF99 1NA



Jocelyn Davies AC  
Cadeirydd y Pwyllgor Cyllid  
Cynulliad Cenedlaethol Cymru  
Bae Caerdydd  
CF99 1NA

24 Hydref 2012

Annwyl Jocelyn

### Cyllideb ddrafft Llywodraeth Cymru 2013-14

Clywodd y Pwyllgor Amgylchedd a Chynaliadwyedd dystiolaeth lafar gan Weinidog yr Amgylchedd a Datblygu Cynaliadwy ('y Gweinidog') a'r Dirprwy Weinidog Amaethyddiaeth, Bwyd, Pysgodfeydd a Rhaglenni Ewropeaidd ('y Dirprwy Weinidog') mewn cysylltiad â chyllideb ddrafft Llywodraeth Cymru, ar 18 Hydref 2012. Roedd ein gwaith craffu'n canolbwyntio ar faterion a oedd yn effeithio ar bortffolio ein pwyllgor, ac mae prif gasgliadau'r gwaith craffu wedi'u hamlinellu yn y llythyr hwn, a chyhoeddir hwy ar ein gwefan.

Gobeithio y bydd ein sylwadau o gymorth i'r Pwyllgor graffu'n gyffredinol ar y gyllideb ddrafft. Rydym wedi nodi ein materion allweddol yn unol â'r pedair egwyddor craffu cyllidol a fabwysiadwyd gan eich pwyllgor, sef fforddiadwyedd, blaenoriaethu, gwerth am arian a phroses y gyllideb.

#### 1. Fforddiadwyedd

##### 1.1 Cyllidebau staffio canolog

Bu'r Gweinidog a'r Dirprwy Weinidog yn ymateb i lawer o gwestiynau ynglŷn ag adnoddau, drwy gyfeirio at ba mor bwysig oedd bod cyllid digonol ar gael o gyllidebau canolog adrannau ac o gyllidebau staffio ar lefel Llywodraeth Cymru. Rydym yn pryderu rhywfaint bod nifer o flaenoriaethau Llywodraeth Cymru o ran polisi a blaenoriaethau deddfwriaethol mewn perygl os na all y Gweinidog a'r Dirprwy Weinidog ddod o hyd i ddigon o gyllid i'w darparu. Mae'n bosibl y bydd y Pwyllgor Cyllid am ymchwilio i'r ddibyniaeth hon ar ffynonellau cyllid amhenodol.

##### 1.2 Corff Adnoddau Naturiol

Buom yn holi'r Gweinidog ynghylch faint o adnoddau sydd ar gael ar gyfer y Corff Adnoddau Naturiol yn ei flwyddyn gyntaf ers ei sefydlu. Mae ef wedi rhoi sicrwydd i ni fod digon o adnoddau wedi'u dyrannu ar gyfer uno'r cyrff presennol yn llwyddiannus, ac ar gyfer sicrhau nad oes unrhyw ddirywiad yn y gwasanaeth yn

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[alun.davidson@wales.gov.uk](mailto:alun.davidson@wales.gov.uk)

ystod y cyfnod pontio, er gwaetha'r lleihad yn y refeniw sydd ar gael i'r corff newydd o ganlyniad i'r uno yn 2013-14 o'i gymharu â'r adnoddau cyfunedig a oedd ar gael i'r cyrff unigol presennol yn 2012-13. Cyfeiriodd y Gweinidog hefyd at yr arian sydd ar gael i'r Corff Adnoddau Naturiol i ddarparu nifer o ymrwymadau o ran polisi, gan gynnwys cyrraedd targedau bioamrywiaeth.

Dywedodd y Gweinidog wrthym ei fod o'r farn y byddai creu'r Corff Adnoddau Naturiol yn darparu buddion net o £90 miliwn i'r sefydliad dros gyfnod o ddeng mlynedd. Dywedodd y Gweinidog mai cyfrifoldeb y corff newydd, ac nid cyfrifoldeb y Llywodraeth, fyddai monitro ac adrodd ynghylch yr arbedion hyn. Nid yw'n glir a fydd yr arbedion arfaethedig hyn yn arwain at leihad pellach yn yr arian a gaiff y Corff Adnoddau Naturiol yn y blynyddoedd nesaf.

Mae dyraniad y Gweinidog ar gyfer y gyllideb yn 2013-14 yn cynnwys £2 filiwn i ail-strwythuro *Fframwaith yr Amgylchedd Naturiol*. Mae £2 miliwn hefyd yn cael ei dynnu oddi ar linell y gyllideb hon er mwyn ariannu dwy linell newydd y gyllideb mewn cysylltiad â'r amgylchedd naturiol a'r amgylchedd trefol. Dywedodd Matthew Quinn (Cyfarwyddwr yr Amgylchedd a Datblygu Cynaliadwy) wrthym ym mis Mehefin eleni fod arian o fewn y llinell gyllideb hon yn bennaf i dalu costau staff mewn perthynas â pharatoi ar gyfer y Fframwaith Amgylchedd Naturiol am y cyfnod hwnnw. Eglurodd y Gweinidog wrthym ar beth y byddai'r £2 filiwn, sy'n cael ei dynnu o'r llinell gyllideb hon, yn cael ei wario, ond nid oedd sicrwydd beth y byddai'r £2 filiwn a gedwir o fewn y gyllideb hon yn ei ddarparu. Bwriadwn ofyn i'r Gweinidog am ragor o eglurder ynghylch pa ganlyniadau penodol a gaiff eu darparu drwy'r llinell gyllideb hon.

Mae'n bosibl y bydd y Pwyllgor Cyllid am ystyried pa mor fforddiadwy yw cynlluniau Llywodraeth Cymru yn y maes hwn.

### *1.3 Llongau gorfodi pysgodfeydd*

Nododd y Dirprwy Weinidog ei fod ar ganol y cam o greu achos busnes ar gyfer buddsoddi mewn llongau gorfodi pysgodfeydd newydd. Nododd, oherwydd y pwysau sydd ar y Llynges Frenhinol, y bydd yn ofynnol i longau Llywodraeth Cymru batrolio'r ardal o Barth Pysgodfeydd Cymru ar y môr yn ychwanegol at eu tasg bresennol o batrolio dyfroedd mewndirol Cymru. Mae cyfrifoldeb sylweddol yn cydfynd â'r ddyletswydd newydd hon. Gallai methiant i gyflawni camau gorfodi digonol yn yr ardaloedd ar y môr arwain at dorri ymrwymadau o dan y Polisi Pysgodfeydd Cyffredin a'r Cyfarwyddebau Cynefinoedd ac Adar, a allai yn ei dro arwain at achosion tordyletswydd.

Mae penderfyniad eto i'w wneud ynglŷn â chwantwm ar gyfer y cyfalaf sy'n angenrheidiol ar gyfer y buddsoddiad hwn, ac nid oes cyllideb wedi'i hystyried ar gyfer 2013-14. Mae'r Dirprwy Weinidog o'r farn y gall ddod o hyd i arian digonol o fewn ei gyllideb adrannol, sef cyllideb yr Adran Busnes, Menter, Technoleg a Gwybodaeth, neu'n ganolog gan Lywodraeth Cymru. O gofio'r pwysau sydd ar gyllidebau cyfalaf, bydd o ddiddordeb i ni weld sut y bydd y buddsoddiad arfaethedig hwn yn gwneud cynnydd, a disgwyliwn y bydd ffynhonnell y cyfalaf yn cael ei amlinellu'n dryloyw unwaith y bydd wedi'i adnabod.

### *1.4 Trosglwyddo swyddogaethau pysgodfeydd*

Dywedodd y Dirprwy Weinidog wrthym y bydd datganoli pwerau i Gymru yn digwydd ar raddfa eang mewn cysylltiad â chofrestru llongau a rheolaeth o gwotâu. Dywedodd mai'r prif gostau a fyddai'n gysylltiedig â'r trosglwyddo cyfrifoldebau fyddai costau TG. Dywedodd fod ei swyddogion yn datblygu systemau cyfredol i'w gwneud yn addas i'w diben, ac y byddai'r costau hyn yn cael eu talu o fewn dyraniadau ei gyllideb. Byddwn yn monitro gwariant yn hyn o beth yn ofalus. Hefyd, byddwn yn gofyn i'r Dirprwy Weinidog egluro a gaiff y gwaith hwn ei wneud yn y flwyddyn ariannol bresennol neu a fydd angen arian ar ei gyfer yn 2013-14 hefyd.

### *1.5 Cronfa ddata Adnabod yn Electronig*

Mae'r Dirprwy Weinidog wedi ymrwmo i ddatblygu Cronfa Ddata Adnabod Defaid yn Electronig ar gyfer Cymru. Dywedodd y Dirprwy Weinidog wrthym, er nad oedd penderfyniad terfynol wedi'i wneud ynghylch cynllun y gronfa ddata, mae ffigurau dangosol wedi dangos y gall gostio rhwng £2 a £3 miliwn. Dywedodd y Dirprwy Weinidog y byddai ei gyllideb adrannol yn talu'r gost ond nid oedd wedi cynnwys hyn yn ei Gyllideb Ddrafft ar gyfer 2013-14. Dywedodd y Dirprwy Weinidog wrthym fod 80 y cant o'i gyllideb wedi'i hymrwmo i arian cyfatebol y Rhaglen Datblygu Gwledig ac mai cyfran fach yn unig o'i gyllideb oedd yn hyblyg. Byddwn yn monitro'r costau rhagweledig terfynol ar gyfer y cronfeydd data yn ofalus, ac yn gofyn i'r Dirprwy Weinidog roi gwybod i ni am unrhyw newidiadau i ddyraniadau'r gyllideb a gynlluniwyd ar gyfer 2013-14, cyn gynted â phosibl.

## **2. Blaenoriaethu**

### *2.1 Polisi Morol*

Mae'r flaenoriaeth a roddir i'r maes polisi hwn yn ymddangos yn annigonol ac nid yw dull cyllido'r polisi'n cael ei gyflwyno mewn modd tryloyw yn y gyllideb ddrafft.

Er bod cam o fewn cyllideb y Gweinidog ar gyfer darparu'r Polisi Gwarchod Natur a'r Polisi Morol, nid oes un llinell wariant yn y gyllideb sy'n ymdrin â pholisi morol ac nid yw papur y Gweinidog na dogfen Gyllideb Ddrafft Llywodraeth Cymru 2013-14 yn nodi faint o adnoddau a fydd ar gael i weithredu'r polisi morol yn 2013-14.

Wrth gael ei holi am y diffyg blaenoriaeth ac eglurder ymddangosiadol hwn, ymatebodd Matthew Quinn (Cyfarwyddwr yr Amgylchedd a Datblygu Cynaliadwy) drwy ddweud bod £120,000 ar gael i'r Adran ar gyfer darparu polisi morol o dan y llinell weithredu 'Darparu polisi gwarchod natur a pholisi morol'. Nid oes gennym ddim gwybodaeth fwy eglur yn hyn o beth, oherwydd nad yw'r un o'r tair llinell wariant yn y gyllideb, o dan y cam hwn, yn cyfeirio at bolisi morol.

Mae hyn yn is na lefel y tryloywder y byddem yn ei ddisgwyl gan Lywodraeth Cymru. Rydym yn pryderu mwy byth yn sgîl y dystiolaeth a gawsom hyd yma mewn cysylltiad â'n hymchwiliad i bolisi morol yng Nghymru. Dywedodd pob ymatebwr a thyst bron fod diffyg adnoddau Llywodraeth Cymru ar gyfer polisi morol yn fater sy'n achosi llawer iawn o bryder. Byddwn yn cael golwg fwy manwl ar hyn, ond rydym wedi nodi'r pryderon hyd yma at ddibenion craffu ar y gyllideb. Yn sicr, disgwyliwn weld darlun mwy eglur ynglŷn â sut y mae polisi morol yn cael ei gyllido gan Lywodraeth Cymru mewn cyllidebau yn y dyfodol.

## **3. Proses y gyllideb**

### *3.1 Sylwadau cyffredinol*

Eleni, roedd papurau'r gyllideb, a'r dystiolaeth ysgrifenedig a gyflwynodd y Gweinidog a'r Dirprwy Weinidog, yn gliriach ac wedi cael eu cyflwyno'n well. Rydym yn croesawu camau i sicrhau y ceir gwell tryloywder; fodd bynnag, mae llawer o le i wella o hyd yn hyn o beth. Er bod camau wedi'u cymryd tuag at gysylltu cynllunio cyllidol ag amcanion polisi'r Rhaglen Lywodraethu, mae angen gwneud rhagor i sicrhau bod y cysylltiadau hyn yn amlwg. Mae angen rhagor o eglurder er mwyn hwyluso gwaith craffu cyllidol mwy cynhwysfawr, a disgwylwn y bydd gwelliannau pellach yn hyn o beth pan fyddwn yn ail-afael yn y gwaith o graffu cyllidol a chraffu ar gyllideb ddrafft 2014-15 yr hydref nesaf.

### *3.2 Datblygu cynaliadwy*

Dywedodd y Gweinidog fod ei swyddogion wedi gweithio gyda'r tîm cyllidebu strategol i sicrhau bod Datblygu Cynaliadwy yn rhan fwy arwyddocaol o'r broses. Drwy hyn, gofynnwyd i swyddogion o adrannau eraill ddangos sut roedd datblygu cynaliadwy yn cael ei ystyried yn eu cynlluniau gwariant hwy. Rydym yn croesawu'r gwaith hwn a'r gwaith o nodi pa brosiectau a fydd yn cyfrannu tuag at Ddatblygu Cynaliadwy, ym Mhennod 7 o'r Gyllideb Ddrafft. Fodd bynnag, hoffem gael rhagor o dystiolaeth gan Lywodraeth Cymru yn rowndiau'r gyllideb yn y dyfodol, sut y mae datblygu cynaliadwy wedi dylanwadu ar ddyraniadau gwariant. Er enghraifft, hoffem wybod a addaswyd polisiau er mwyn sicrhau canlyniadau mwy cynaliadwy neu a oedd un opsiwn o ran polisi wedi'i ddewis yn hytrach nag opsiwn arall, am resymau cynaliadwyedd. Bydd hyn yn galluogi Llywodraeth Cymru i ddod yn batrwm enghreifftiol o arferion da i sefydliadau sector cyhoeddus eraill. Mae hyn yn bwysig iawn, oherwydd bydd angen i lawer o'r sefydliadau hyn gynnwys datblygu cynaliadwy fel egwyddor sefydliadol ganolog ym mhroses eu cyllidebau, pe bai darpariaethau arfaethedig y Bil Datblygu Cynaliadwy yn dod i rym.

### *3.3 Ail broffilio cynlluniau'r Rhaglen Datblygu Gwledig*

Dywedodd y Dirprwy Weinidog wrthym fod y Comisiwn Ewropeaidd wedi newid y dyddiad cau ar gyfer ymrwymo arian cynlluniau amaeth-amgylchedd amlflwydd, fel Glastir, a'i wario. Tra bod Llywodraeth Cymru yn credu'n flaenorol y gallai wario arian yn 2014-15, yn awr bydd yn orfodol ei fod wedi ymrwymo a gwario'r arian hwn erbyn mis Rhagfyr 2013. Mae hyn, meddai'r Dirprwy Weinidog wrth gloi ei araith, wedi golygu y bydd angen ail broffilio'r arian y cynlluniwyd i'w wario o fewn y Rhaglen Datblygu Gwledig i sicrhau bod y gwariant wedi'i wneud cyn diwedd y rhaglen. Rydym yn pryderu y bydd peidio â gwneud hyn ar frys yn arwain at orfod dychwelyd arian i'r Undeb Ewropeaidd. Felly, byddwn yn gofyn i'r Dirprwy Weinidog am eglurder ynghylch graddfa'r ail broffilio, yr amserlen ar gyfer yr ymarferiad ail broffilio ac am eglurder ynghylch faint o risg sydd, y bydd yn rhaid dychwelyd rhywfaint o arian i'r UE.

## **4. Gwerth am arian**

Er nad y cam craffu ar y gyllideb yw'r cyfnod naturiol yn y cylch craffu cyllidol i asesu gwerth am arian, cawsom ymrwymadau gan y Gweinidog, a'r Dirprwy Weinidog, a fydd yn ddefnyddiol wrth wneud asesiadau gwerth am arian yn y dyfodol.

### *4.1 Buddsoddi i arbed a'r Corff Adnoddau Naturiol*

Cafodd yr Adran Amgylchedd a Datblygu Cynaliadwy arian yn 2012-13 i dalu costau'r seilwaith TG ac Adnoddau Dynol sy'n gysylltiedig â chreu Corff Adnoddau Naturiol. Mae papur ysgrifenedig y Gweinidog yn nodi y bydd yn ofynnol i'r Adran ad-dalu'r arian hwn (refeniw o £1.5 miliwn) yn 2014-15.

Cadarnhaodd y Gweinidog y bydd arbedion sydd wedi cronni o ganlyniad i sefydlu'r Corff Adnoddau Naturiol yn weladwy o 2014-15 ymlaen, ynghyd â'r ad-daliadau i'r gronfa buddsoddi i arbed.

#### *4.2 Grantiau hybu bwyd*

Rhoddodd y Dirprwy Weinidog wybod i'r Pwyllgor fod pob grant a ddyfarnwyd i hybu bwyd yn amodol ar gyflawni amcanion trosiant a chreu swyddi. Dywedodd hefyd ei fod o'r farn y byddai modd edrych ar y darlun byd-eang o ran sut y gellir cyflawni'r amcanion hyn. Mae hwn yn faes y byddwn yn edrych arno eto, ac yn cael y wybodaeth hon gan y Gweinidog gobeithio, ar gyfer ei hystyried ymhellach o ran gwerth am arian.

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Gobeithio y bydd y wybodaeth hon yn ddefnyddiol. Efallai y byddwch am wybod y byddwn yn rhannu'r llythyr hwn hefyd â'r Gweinidog a'r Dirprwy Weinidog. Byddwn yn dilyn ambell drywydd a cheisiadau am ragor o wybodaeth i'n cynorthwyo â'n gwaith parhaus o graffu cyllidol.

Yn gywir



**Dafydd Elis-Thomas AC**  
**Cadeirydd y Pwyllgor Amgylchedd a Chynaliadwyedd**